



0400

8-2-01

STATEMENT UNDER 37 C.F.R. § 3.73(b)

Title of Application: ADP-GLUCOSE RECEPTOR

Application Ser. No.: 09/730,576

Filed: February 9, 2001

Inventor(s): Olivier Civelli, Hans-Peter Nothacker,  
Zhiwei Wang, and Rainer Reinscheid

Attorney Docket No.: P-UC 4530

The Regents of the University of California, a UNIVERSITY, states that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors of the application. A copy of the executed assignment, submitted for recording, is attached hereto as documentary evidence of the chain of title to the assignee.

The undersigned is empowered to sign this statement on behalf of the assignee.

Date: 7/23/01Signature: Linda S. Stevenson

Name: Linda S. Stevenson

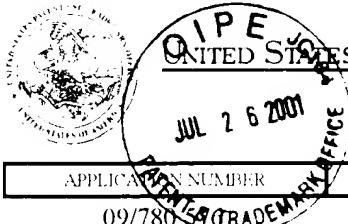
Title: Manager, Patent Prosecution

Statement Under 37 C.F.R. § 3.73(b)  
Attorney Docket No.: P-UC 4530  
Serial No.: 09/780,576

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on July 23, 2001.

By Melanie K. Webster  
Melanie K. Webster, Reg. No. 45,201

July 23, 2001  
Date of Signature



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/780,549	02/09/2001	Olivier Civelli	P-UC 4530

23601  
CAMPBELL & FLORES LLP  
4370 LA JOLLA VILLAGE DRIVE  
7TH FLOOR  
SAN DIEGO, CA 92122

## CONFIRMATION NO. 1610

## FORMALITIES LETTER



\*OC000000005890570\*

Date Mailed: 03/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$554.
  - \$234 for 13 total claims over 20.
  - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1394.**

*A copy of this notice MUST be returned with the reply.*

07/30/2001 EEXUBAY1 00000019 09780576  
01 FC:101  
02 FC:102  
03 FC:103  
04 FC:105  
05 FC:106  
06 FC:107  
07 FC:108  
08 FC:109  
09 FC:110  
10 FC:111  
11 FC:112  
12 FC:113  
13 FC:114  
14 FC:115  
15 FC:116  
16 FC:117  
17 FC:118  
18 FC:119  
19 FC:120  
20 FC:121  
21 FC:122  
22 FC:123  
23 FC:124  
24 FC:125  
25 FC:126  
26 FC:127  
27 FC:128  
28 FC:129  
29 FC:130  
30 FC:131  
31 FC:132  
32 FC:133  
33 FC:134  
34 FC:135  
35 FC:136  
36 FC:137  
37 FC:138  
38 FC:139  
39 FC:140  
40 FC:141  
41 FC:142  
42 FC:143  
43 FC:144  
44 FC:145  
45 FC:146  
46 FC:147  
47 FC:148  
48 FC:149  
49 FC:150  
50 FC:151  
51 FC:152  
52 FC:153  
53 FC:154  
54 FC:155  
55 FC:156  
56 FC:157  
57 FC:158  
58 FC:159  
59 FC:160  
60 FC:161  
61 FC:162  
62 FC:163  
63 FC:164  
64 FC:165  
65 FC:166  
66 FC:167  
67 FC:168  
68 FC:169  
69 FC:170  
70 FC:171  
71 FC:172  
72 FC:173  
73 FC:174  
74 FC:175  
75 FC:176  
76 FC:177  
77 FC:178  
78 FC:179  
79 FC:180  
80 FC:181  
81 FC:182  
82 FC:183  
83 FC:184  
84 FC:185  
85 FC:186  
86 FC:187  
87 FC:188  
88 FC:189  
89 FC:190  
90 FC:191  
91 FC:192  
92 FC:193  
93 FC:194  
94 FC:195  
95 FC:196  
96 FC:197  
97 FC:198  
98 FC:199  
99 FC:200  
100 FC:201  
101 FC:202  
102 FC:203  
103 FC:204  
104 FC:205  
105 FC:206  
106 FC:207  
107 FC:208  
108 FC:209  
109 FC:210  
110 FC:211  
111 FC:212  
112 FC:213  
113 FC:214  
114 FC:215  
115 FC:216  
116 FC:217  
117 FC:218  
118 FC:219  
119 FC:220  
120 FC:221  
121 FC:222  
122 FC:223  
123 FC:224  
124 FC:225  
125 FC:226  
126 FC:227  
127 FC:228  
128 FC:229  
129 FC:230  
130 FC:231  
131 FC:232  
132 FC:233  
133 FC:234  
134 FC:235  
135 FC:236  
136 FC:237  
137 FC:238  
138 FC:239  
139 FC:240  
140 FC:241  
141 FC:242  
142 FC:243  
143 FC:244  
144 FC:245  
145 FC:246  
146 FC:247  
147 FC:248  
148 FC:249  
149 FC:250  
150 FC:251  
151 FC:252  
152 FC:253  
153 FC:254  
154 FC:255  
155 FC:256  
156 FC:257  
157 FC:258  
158 FC:259  
159 FC:260  
160 FC:261  
161 FC:262  
162 FC:263  
163 FC:264  
164 FC:265  
165 FC:266  
166 FC:267  
167 FC:268  
168 FC:269  
169 FC:270  
170 FC:271  
171 FC:272  
172 FC:273  
173 FC:274  
174 FC:275  
175 FC:276  
176 FC:277  
177 FC:278  
178 FC:279  
179 FC:280  
180 FC:281  
181 FC:282  
182 FC:283  
183 FC:284  
184 FC:285  
185 FC:286  
186 FC:287  
187 FC:288  
188 FC:289  
189 FC:290  
190 FC:291  
191 FC:292  
192 FC:293  
193 FC:294  
194 FC:295  
195 FC:296  
196 FC:297  
197 FC:298  
198 FC:299  
199 FC:300  
200 FC:301  
201 FC:302  
202 FC:303  
203 FC:304  
204 FC:305  
205 FC:306  
206 FC:307  
207 FC:308  
208 FC:309  
209 FC:310  
210 FC:311  
211 FC:312  
212 FC:313  
213 FC:314  
214 FC:315  
215 FC:316  
216 FC:317  
217 FC:318  
218 FC:319  
219 FC:320  
220 FC:321  
221 FC:322  
222 FC:323  
223 FC:324  
224 FC:325  
225 FC:326  
226 FC:327  
227 FC:328  
228 FC:329  
229 FC:330  
230 FC:331  
231 FC:332  
232 FC:333  
233 FC:334  
234 FC:335  
235 FC:336  
236 FC:337  
237 FC:338  
238 FC:339  
239 FC:340  
240 FC:341  
241 FC:342  
242 FC:343  
243 FC:344  
244 FC:345  
245 FC:346  
246 FC:347  
247 FC:348  
248 FC:349  
249 FC:350  
250 FC:351  
251 FC:352  
252 FC:353  
253 FC:354  
254 FC:355  
255 FC:356  
256 FC:357  
257 FC:358  
258 FC:359  
259 FC:360  
260 FC:361  
261 FC:362  
262 FC:363  
263 FC:364  
264 FC:365  
265 FC:366  
266 FC:367  
267 FC:368  
268 FC:369  
269 FC:370  
270 FC:371  
271 FC:372  
272 FC:373  
273 FC:374  
274 FC:375  
275 FC:376  
276 FC:377  
277 FC:378  
278 FC:379  
279 FC:380  
280 FC:381  
281 FC:382  
282 FC:383  
283 FC:384  
284 FC:385  
285 FC:386  
286 FC:387  
287 FC:388  
288 FC:389  
289 FC:390  
290 FC:391  
291 FC:392  
292 FC:393  
293 FC:394  
294 FC:395  
295 FC:396  
296 FC:397  
297 FC:398  
298 FC:399  
299 FC:400  
300 FC:401  
301 FC:402  
302 FC:403  
303 FC:404  
304 FC:405  
305 FC:406  
306 FC:407  
307 FC:408  
308 FC:409  
309 FC:410  
310 FC:411  
311 FC:412  
312 FC:413  
313 FC:414  
314 FC:415  
315 FC:416  
316 FC:417  
317 FC:418  
318 FC:419  
319 FC:420  
320 FC:421  
321 FC:422  
322 FC:423  
323 FC:424  
324 FC:425  
325 FC:426  
326 FC:427  
327 FC:428  
328 FC:429  
329 FC:430  
330 FC:431  
331 FC:432  
332 FC:433  
333 FC:434  
334 FC:435  
335 FC:436  
336 FC:437  
337 FC:438  
338 FC:439  
339 FC:440  
340 FC:441  
341 FC:442  
342 FC:443  
343 FC:444  
344 FC:445  
345 FC:446  
346 FC:447  
347 FC:448  
348 FC:449  
349 FC:450  
350 FC:451  
351 FC:452  
352 FC:453  
353 FC:454  
354 FC:455  
355 FC:456  
356 FC:457  
357 FC:458  
358 FC:459  
359 FC:460  
360 FC:461  
361 FC:462  
362 FC:463  
363 FC:464  
364 FC:465  
365 FC:466  
366 FC:467  
367 FC:468  
368 FC:469  
369 FC:470  
370 FC:471  
371 FC:472  
372 FC:473  
373 FC:474  
374 FC:475  
375 FC:476  
376 FC:477  
377 FC:478  
378 FC:479  
379 FC:480  
380 FC:481  
381 FC:482  
382 FC:483  
383 FC:484  
384 FC:485  
385 FC:486  
386 FC:487  
387 FC:488  
388 FC:489  
389 FC:490  
390 FC:491  
391 FC:492  
392 FC:493  
393 FC:494  
394 FC:495  
395 FC:496  
396 FC:497  
397 FC:498  
398 FC:499  
399 FC:500  
400 FC:501  
401 FC:502  
402 FC:503  
403 FC:504  
404 FC:505  
405 FC:506  
406 FC:507  
407 FC:508  
408 FC:509  
409 FC:510  
410 FC:511  
411 FC:512  
412 FC:513  
413 FC:514  
414 FC:515  
415 FC:516  
416 FC:517  
417 FC:518  
418 FC:519  
419 FC:520  
420 FC:521  
421 FC:522  
422 FC:523  
423 FC:524  
424 FC:525  
425 FC:526  
426 FC:527  
427 FC:528  
428 FC:529  
429 FC:530  
430 FC:531  
431 FC:532  
432 FC:533  
433 FC:534  
434 FC:535  
435 FC:536  
436 FC:537  
437 FC:538  
438 FC:539  
439 FC:540  
440 FC:541  
441 FC:542  
442 FC:543  
443 FC:544  
444 FC:545  
445 FC:546  
446 FC:547  
447 FC:548  
448 FC:549  
449 FC:550  
450 FC:551  
451 FC:552  
452 FC:553  
453 FC:554  
454 FC:555  
455 FC:556  
456 FC:557  
457 FC:558  
458 FC:559  
459 FC:560  
460 FC:561  
461 FC:562  
462 FC:563  
463 FC:564  
464 FC:565  
465 FC:566  
466 FC:567  
467 FC:568  
468 FC:569  
469 FC:570  
470 FC:571  
471 FC:572  
472 FC:573  
473 FC:574  
474 FC:575  
475 FC:576  
476 FC:577  
477 FC:578  
478 FC:579  
479 FC:580  
480 FC:581  
481 FC:582  
482 FC:583  
483 FC:584  
484 FC:585  
485 FC:586  
486 FC:587  
487 FC:588  
488 FC:589  
489 FC:590  
490 FC:591  
491 FC:592  
492 FC:593  
493 FC:594  
494 FC:595  
495 FC:596  
496 FC:597  
497 FC:598  
498 FC:599  
499 FC:600  
500 FC:601  
501 FC:602  
502 FC:603  
503 FC:604  
504 FC:605  
505 FC:606  
506 FC:607  
507 FC:608  
508 FC:609  
509 FC:610  
510 FC:611  
511 FC:612  
512 FC:613  
513 FC:614  
514 FC:615  
515 FC:616  
516 FC:617  
517 FC:618  
518 FC:619  
519 FC:620  
520 FC:621  
521 FC:622  
522 FC:623  
523 FC:624  
524 FC:625  
525 FC:626  
526 FC:627  
527 FC:628  
528 FC:629  
529 FC:630  
530 FC:631  
531 FC:632  
532 FC:633  
533 FC:634  
534 FC:635  
535 FC:636  
536 FC:637  
537 FC:638  
538 FC:639  
539 FC:640  
540 FC:641  
541 FC:642  
542 FC:643  
543 FC:644  
544 FC:645  
545 FC:646  
546 FC:647  
547 FC:648  
548 FC:649  
549 FC:650  
550 FC:651  
551 FC:652  
552 FC:653  
553 FC:654  
554 FC:655  
555 FC:656  
556 FC:657  
557 FC:658  
558 FC:659  
559 FC:660  
560 FC:661  
561 FC:662  
562 FC:663  
563 FC:664  
564 FC:665  
565 FC:666  
566 FC:667  
567 FC:668  
568 FC:669  
569 FC:670  
570 FC:671  
571 FC:672  
572 FC:673  
573 FC:674  
574 FC:675  
575 FC:676  
576 FC:677  
577 FC:678  
578 FC:679  
579 FC:680  
580 FC:681  
581 FC:682  
582 FC:683  
583 FC:684  
584 FC:685  
585 FC:686  
586 FC:687  
587 FC:688  
588 FC:689  
589 FC:690  
590 FC:691  
591 FC:692  
592 FC:693  
593 FC:694  
594 FC:695  
595 FC:696  
596 FC:697  
597 FC:698  
598 FC:699  
599 FC:700  
600 FC:701  
601 FC:702  
602 FC:703  
603 FC:704  
604 FC:705  
605 FC:706  
606 FC:707  
607 FC:708  
608 FC:709  
609 FC:710  
610 FC:711  
611 FC:712  
612 FC:713  
613 FC:714  
614 FC:715  
615 FC:716  
616 FC:717  
617 FC:718  
618 FC:719  
619 FC:720  
620 FC:721  
621 FC:722  
622 FC:723  
623 FC:724  
624 FC:725  
625 FC:726  
626 FC:727  
627 FC:728  
628 FC:729  
629 FC:730  
630 FC:731  
631 FC:732  
632 FC:733  
633 FC:734  
634 FC:735  
635 FC:736  
636 FC:737  
637 FC:738  
638 FC:739  
639 FC:740  
640 FC:741  
641 FC:742  
642 FC:743  
643 FC:744  
644 FC:745  
645 FC:746  
646 FC:747  
647 FC:748  
648 FC:749  
649 FC:750  
650 FC:751  
651 FC:752  
652 FC:753  
653 FC:754  
654 FC:755  
655 FC:756  
656 FC:757  
657 FC:758  
658 FC:759  
659 FC:760  
660 FC:761  
661 FC:762  
662 FC:763  
663 FC:764  
664 FC:765  
665 FC:766  
666 FC:767  
667 FC:768  
668 FC:769  
669 FC:770  
670 FC:771  
671 FC:772  
672 FC:773  
673 FC:774  
674 FC:775  
675 FC:776  
676 FC:777  
677 FC:778  
678 FC:779  
679 FC:780  
680 FC:781  
681 FC:782  
682 FC:783  
683 FC:784  
684 FC:785  
685 FC:786  
686 FC:787  
687 FC:788  
688 FC:789  
689 FC:790  
690 FC:791  
691 FC:792  
692 FC:793  
693 FC:794  
694 FC:795  
695 FC:796  
696 FC:797  
697 FC:798  
698 FC:799  
699 FC:800  
700 FC:801  
701 FC:802  
702 FC:803  
703 FC:804  
704 FC:805  
705 FC:806  
706 FC:807  
707 FC:808  
708 FC:809  
709 FC:810  
710 FC:811  
711 FC:812  
712 FC:813  
713 FC:814  
714 FC:815  
715 FC:816  
716 FC:817  
717 FC:818  
718 FC:819  
719 FC:820  
720 FC:821  
721 FC:822  
722 FC:823  
723 FC:824  
724 FC:825  
725 FC:826  
726 FC:827  
727 FC:828  
728 FC:829  
729 FC:830  
730 FC:831  
731 FC:832  
732 FC:833  
733 FC:834  
734 FC:835  
735 FC:836  
736 FC:837  
737 FC:838  
738 FC:839  
739 FC:840  
740 FC:841  
741 FC:842  
742 FC:843  
743 FC:844  
744 FC:845  
745 FC:846  
746 FC:847  
747 FC:848  
748 FC:849  
749 FC:850  
750 FC:851  
751 FC:852  
752 FC:853  
753 FC:854  
754 FC:855  
755 FC:856  
756 FC:857  
757 FC:858  
758 FC:859  
759 FC:860  
760 FC:861  
761 FC:862  
762 FC:863  
763 FC:864  
764 FC:865  
765 FC:866  
766 FC:867  
767 FC:868  
768 FC:869  
769 FC:870  
770 FC:871  
771 FC:872  
772 FC:873  
773 FC:874  
774 FC:875  
775 FC:876  
776 FC:877  
777 FC:878  
778 FC:879  
779 FC:880  
780 FC:881  
781 FC:882  
782 FC:883  
783 FC:884  
784 FC:885  
785 FC:886  
786 FC:887  
787 FC:888  
788 FC:889  
789 FC:890  
790 FC:891  
791 FC:892  
792 FC:893  
793 FC:894  
794 FC:895  
795 FC:896  
796 FC:897  
797 FC:898  
798 FC:899  
799 FC:900  
800 FC:901  
801 FC:902  
802 FC:903  
803 FC:904  
804 FC:905  
805 FC:906  
806 FC:907  
807 FC:908  
808 FC:909  
809 FC:910  
810 FC:911  
811 FC:912  
812 FC:913  
813 FC:914  
814 FC:915  
815 FC:916  
816 FC:917  
817 FC:918  
818 FC:919  
819 FC:920  
820 FC:921  
821 FC:922  
822 FC:923  
823 FC:924  
824 FC:925  
825 FC:926  
826 FC:927  
827 FC:928  
828 FC:929  
829 FC:930  
830 FC:931  
831 FC:932  
832 FC:933  
833 FC:934  
834 FC:935  
835 FC:936  
836 FC:937  
837 FC:938  
838 FC:939  
839 FC:940  
840 FC:941  
841 FC:942  
842 FC:943  
843 FC:944  
844 FC:945  
845 FC:946  
846 FC:947  
847 FC:948  
848 FC:949  
849 FC:950  
850 FC:951  
851 FC:952  
852 FC:953  
853 FC:954  
854 FC:955  
855 FC:956  
856 FC:957  
857 FC:958  
858 FC:959  
859 FC:960  
860 FC:961  
861 FC:962  
862 FC:963  
863 FC:964  
864 FC:965  
865 FC:966  
866 FC:967  
867 FC:968  
868 FC:969  
869 FC:970  
870 FC:971  
871 FC:972  
872 FC:973  
873 FC:974  
874 FC:975  
875 FC:976  
876 FC:977  
877 FC:978  
878 FC:979  
879 FC:980  
880 FC:981  
881 FC:982  
882 FC:983  
883 FC:984  
884 FC:985  
885 FC:986  
886 FC:987  
887 FC:988  
888 FC:989  
889 FC:990  
890 FC:991  
891 FC:992  
892 FC:993  
893 FC:994  
894 FC:995  
895 FC:996  
896 FC:997  
897 FC:998  
898 FC:999  
899 FC:1000

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE



Sector  
\$  
#3

PATENT  
Our Docket: P-UC 4530

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Civelli et al. ) Examiner: Unknown  
)  
Serial No.: 09/780,576 ) Group Art Unit: Unknown  
)  
Filed: February 9, 2001 ) I hereby certify that this correspondence is being deposited  
For: ADP-GLUCOSE RECEPTOR ) with the United States Postal Service as first class mail in  
 ) an envelope addressed to: Commissioner for Patents,  
 ) Washington, D.C. 20231, on July 23, 2001  
 )  
By *Melanie K. Webster*  
Melanie K. Webster, Reg. No. 45,201

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
Attention: BOX MISSING PARTS

July 23, 2001  
Date of Signature

MISSING PARTS TRANSMITTAL

Dear Sir:

In response to the Notice to File Missing Parts mailed March 22, 2001, enclosed are:

- 1. A copy of the Notice to File Missing Parts.
- 2. An executed Declaration for Patent Application in the above-identified U.S. Patent Application.
- 3. A Recordation Form Cover Sheet and an executed Assignment for the above-identified U.S. Patent Application.
- 4. A Petition for Two-Month Extension of Time.
- 5. An executed Power of Attorney for Patent Application by Assignee.
- 6. An executed Statement Under CFR 3.73(b).

The filing fee has been calculated as shown below:

	NUMBER FILED	NUMBER EXTRA	RATE		FEE	
			SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS,	33- 20	= , 13	x \$9	\$18	= \$	\$234
INDEPENDENT CLAIMS	7- 3	= 4	x \$40	\$80	= \$	\$320
MULTIPLE DEPENDENT CLAIMS PRESENTED: YES <input checked="" type="checkbox"/> NO			\$135	\$270	= \$	\$ 00
					BASIC FEE	\$355 \$710
					TOTAL FEE	\$1,264

- 7. A check in the amount of \$1,824.00 to cover the filing fee of \$1,264.00, \$390.00 to cover the filing fee for a two-month extension of time, the surcharge fee of \$130.00, and \$40.00 for recording an Assignment for the application is enclosed.

Inventors: Civelli et al.  
Serial No.: 09/780,576  
Filed: February 9, 2001  
Page 2

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Any additional filing fees required under 37 C.F.R. 1.16.

Any patent application processing fees under 37 C.F.R. 1.17.

The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted

Date: July 23, 2001

*Melanie K Webster*  
Melanie K. Webster  
Registration No. 45,201  
Telephone: (858) 535-9001  
Facsimile: (858) 535-8949

Campbell & Flores LLP  
4370 La Jolla Village Drive  
7<sup>th</sup> Floor  
San Diego, California 92122  
USPTO CUSTOMER NO. 23601